

# STATE OF CONNECTICUT STATE BOARD OF EDUCATION



TO:

Clerk of the Senate

Clerk of the House of Representatives

FROM:

Dr. Dianna R. Wentzell

Commissioner of Education

DATE:

May 2, 2019

SUBJECT:

Annual Report on the Use of Physical Restraint and Seclusion

In accordance with Section 10-236b of the Connecticut General Statutes (C.G.S.), enclosed is a copy of the Annual Report on the Use of Physical Restraint and Seclusion for the 2017-18 school year for the joint standing committee of the General Assembly having cognizance of matters relating to children and education.

If you have any questions, please contact Laura Stefon, Chief of Staff at (860) 713-6493.

DRW:ag

cc: Education Committee Legislative Library Office of Legislative Research State Library

Enclosure



# Annual Report on the Use of Physical Restraint and Seclusion in Connecticut

School Year 2017-18

#### Note to the Reader

Connecticut's restraint and seclusion (R/S) data collection is unique in its transparency and detail; therefore, comparison with other states is not recommended. Regardless of duration or injury, all incidents of emergency restraint, emergency seclusion and seclusion via an individualized education program (IEP) are reported for students with disabilities. Collecting this incident level data allows the Connecticut State Department of Education (CSDE) to obtain an accurate picture of the incidence of R/S among Connecticut's population of students with disabilities.

Inquiries were made to examine the policies, procedures and practices of organizations reporting low numbers (including no reports) of R/S incidents and organizations where data differed substantially from what was reported in 2016-2017. Appendix B summarizes the feedback collected from 101 organizations. Districts identified as potential under-reporters received targeted in-district technical assistance regarding the definitions of restraint and seclusion and reporting requirements.

The examination and analysis of the R/S data has also informed guidance, technical assistance and professional development associated with best practices to reduce the use of restraint and seclusion. The revised *Guidelines to Identify and Educate Students with Emotional Disturbance* promote the use of positive behavior supports and prevention and intervention strategies within a tiered, scientific research-based intervention framework (SRBI). These guidelines also address the appropriate conduct of functional behavioral assessments (FBAs) and the development of behavior intervention plans (BIPs). Resources and professional development opportunities related to the regulations around the use and reporting of restraint and seclusion in schools are available on the CSDE website. Recommended interventions impacting the reduction of restraint and seclusion also remain available to schools and programs. The CSDE continues to engage with other state agencies through the Restraint and Seclusion Prevention Interagency Partnership, which provides information and resources and highlights best practices to reduce restraint and seclusion through an annual conference and other targeted trainings.

Connecticut General Statutes (C.G.S.) Section 10-236b, as amended, went into effect on July 1, 2015, and is reflected in the data captured in this report. Updated (2017) guidance and forms reflecting the laws governing the use of restraint and seclusion in schools are available on the CSDE website. "Recommended Procedures and Practices to Reduce the Use of Restraint and Seclusion in Schools" is also provided as a resource for districts and programs. All guidance and professional development promote the use of evidence-based practices in addressing students' social, emotional and behavioral needs.

When examining organization level data (Appendix A), consideration must be given to the fact that some local education agencies (LEAs) operate in-district alternative programs and/or self-contained special education programs. These programs are designed for students with significant special needs. Incidents of R/S occurring in these settings are reported by the LEA. Conversely, other LEAs may not have the capacity to address a student's severe emotional/behavioral needs in district and the student may be placed in an approved private special education program (APSEP) or regional educational service center (RESC) special education program. Incidents occurring in these settings are reported directly by the APSEP or RESC and are included in the APSEP or RESC incident count. However, if a student is placed by the LEA in an out-of-state facility and is restrained or secluded, this R/S incident is reported by the LEA and is included in the LEA's incident count. Individual LEA policies, procedures and practices may result in differences in in-district program availability, out-of-district placements and out-of-state placements and must be recognized when examining the organization level data.

#### **Background and Overview**

Connecticut General Statutes (C.G.S.) Section 10-236b(k), as amended, requires the Connecticut State Department of Education (CSDE) to produce an annual summary report to the Connecticut General Assembly that:

- identifies the frequency of use of physical restraint and seclusion (R/S); and
- specifies whether the use of such seclusion was in accordance with an individualized education program (IEP) or whether the use of physical restraint or seclusion was an emergency.

R/S incidents were reported for two types of students: students with an IEP and students for whom parental consent to evaluate for special education had been obtained. General education students were not reported in these data, unless they were in the evaluation process for special education services at the time of the restraint or seclusion. Additionally, Section 10-236b(n) requires the CSDE to report on R/S incidents that result in physical injury to the student.

Data regarding restraints and seclusions for 2017-18 were collected from:

- local education agencies (LEAs) who are responsible for special education including local/regional school districts, Unified School District (USD) #2 and the Connecticut Technical Education and Career System (CTECS) (170);
- endowed and incorporated academies (Academies) (3);
- public charter schools (23);
- regional educational service centers (RESCs) (6); and
- approved private special education programs (APSEPs) (89).

Table 1 below is provided to contextualize the results and discussion section of the report. Since restraint and seclusion data are reported by the location of the occurrence, it is important to consider both the proportion of students with disabilities attending various facility types as well as the purpose of the facility. For example, many students are placed in APSEPs and RESC special education programs when a planning and placement team (PPT) determines that their behavior requires an environment with greater supports than can be provided within the LEA.

Table 1
Number and Percent of All Students Statewide with IEPs by Facility Type (October 1, 2017)

	Stude	ents
Facility Type	Number	Percent
Academies	429	0.5%
APSEPs	2,912	3.7%
Charter Schools	1,028	1.3%
LEAs	73,118	92.3%
RESCs	1,731	2.2%
TOTAL	79,218	100.0%

Note: Students attending other non-public or out-of-state schools are included in the LEA count.

The results and discussion section focuses on state level data. Organization-level data for the 2017-18 school year are presented in Appendix A.

#### **Definitions and Concepts**

#### Major Categories of R/S<sup>1</sup>

- **2. Emergency Restraint** means any mechanical or personal restriction that immobilizes or reduces the free movement of a child's arms, legs or head.<sup>2</sup> Restraint does *not* include:
  - briefly holding a child in order to calm or comfort the child;
  - actions involving the minimum contact necessary to safely escort a child from one area to another;
  - medication devices, including supports prescribed by a health care provider to achieve proper body position or balance;
  - helmets or other protective gear used to protect a child from injuries due to a fall; or
  - helmets, mitts and similar devices used to prevent self-injury when the device is part
    of a documented treatment plan or IEP and is the least restrictive means to prevent
    self-injury.
- **3. Emergency Seclusion** means the confinement of a child in a room, whether alone or with staff supervision, in a manner that prevents the child from leaving. Seclusion does *not* include:
  - time outs in the back of the classroom or in the hallway, meant to allow the student to calm or soothe him or herself; or
  - in-school suspensions.
- 4. Seclusion via an IEP means seclusion as a behavior intervention that is documented in the IEP. Seclusion as an intervention can *only* be developed by the PPT to address a child's behavior when other, less restrictive, positive behavior interventions were tried, found to be ineffective and are well documented. Appropriate assessment data (i.e., a Functional Behavioral Assessment (FBA)) and other relevant information supporting the use of seclusion as a behavior intervention must be well documented and included in the child's IEP under "Present Levels of Academic Achievement and Functional Performance." In addition, the findings of the FBA must be documented and utilized to inform the development of a Behavior Intervention Plan (BIP) which becomes part of the IEP, by attachment.

#### **Subcategories of Injuries**

1. **Nonserious Injuries** include red marks, bruises or scrapes requiring application of basic first aid, for example a Band-Aid or ice pack.

**2. Serious Injuries** include any injury requiring medical attention beyond basic first aid. Examples of such medical attention include emergency room visits, doctor visits, sutures, diagnostic x-rays to determine fractures, placement in casts, etc.

<sup>&</sup>lt;sup>1</sup>Public Act 18-51 updated section 10-236l of the 2018 supplement and substituted a revised R/S definition of physical restraints, effective July 1, 2018.

<sup>&</sup>lt;sup>2</sup> It is important to note that all restraints are reported regardless of duration.

#### Methodology

For the 2017-18 school year, the CSDE Performance Office collected and analyzed data at the incident level for each student with an IEP or for whom parental consent to evaluate for special education had been obtained. This incident-level collection allowed for a count of incidents, as well as an unduplicated count of students with disabilities who were restrained and/or secluded. Collecting incident level data is vital to obtaining an accurate picture of the incidence of R/S among Connecticut's population of students with disabilities.

Instances of R/S for 2017-18 school year were collected at the incident level from all institutions and facilities (henceforth referred to as "Organizations") that provide direct care, education or supervision to students with disabilities. Organizations were asked to report incident level information on all restraints and seclusions that occurred within their buildings and programs or during transportation provided by their organization. Additionally, organizations were instructed to include any restraints or seclusions of their students that occurred in out-of-state facilities, nonpublic transition programs, and other nonpublic schools or during an extended day program offered by their organization. LEAs did not report incidents of restraint and seclusion of their students attending RESCs, charter schools, academies or APSEPs because each of these facilities was responsible for separately reporting their R/S data.

The mechanism for collection in 2017-18 was comparable to that of 2016-17. Data were collected from all LEAs, RESCs, charter schools, academies, and APSEPs via an online application. This application provided cross checks with other CSDE databases and included edit checks to ensure data accuracy.

Data elements collected for each incident of restraint or seclusion included the student's state assigned student identifier (SASID), date of birth, date of incident, incident start and end times, circumstance (imminent risk of injury to self, others, or self and others, or seclusion via the IEP), special education status (IEP or signed consent to evaluate), nature of incident (restraint or seclusion), primary disability and, where applicable, injury type and details. It should be noted that incidents of one minute or less in duration are reported as one minute and may reflect momentary restraints only seconds in durations. Additionally, each time a new restraint or hold is initiated, regardless of its duration, the restraint is reported as an individual incident. Therefore, a series of brief holds and releases during a single event would be reported as multiple restraints.

The CSDE provided support to organizations through targeted technical assistance. Such technical assistance includes a help desk for all organizations and provision of additional supports to all new reporting organizations. The data collection system has also been enhanced with multiple edit checks to ensure data integrity. Instances where data quality indicated concern were reviewed with the organization contact. Comparison reports were sent to organizations where data reported for 2017-18 indicated a substantial departure from those in 2016-17. Organizations whose data changed substantially across the two years provided written feedback explaining the contributing factors. A summary of those responses is included in Appendix B. Additionally, all organizations were required to have a certified administrator attest to the accuracy of their data through completion of an online certification process. Each of these attestations regarding the accuracy of 2017-18 R/S data is on file with the CSDE.

#### **Results and Discussion**

In total, 41,425 incidents of restraint and seclusion were reported to the CSDE in 2017-18. This represents an increase of 3,496 incidents (9.2%) from 2016-17. The current data are three and one-half percent higher than incident counts from 2014-15, the previous highest incident year. The incident increases noted in 2017-18 were seen in both emergency restraints and emergency seclusions; however, it should be noted that emergency restraint incidents reported by RESCs nearly doubled (48.6% increase) from 2016-17 to 2017-18, which accounts for nearly sixty percent of the overall increase in incident counts statewide in 2017-18.

A total of 3,305 students (unduplicated count) accounted for the 41,425 R/S incidents in 2017-18. This represents an increase of 310 students from 2016-17. The percentage of all students with disabilities restrained and/or secluded increased slightly in 2017-18 (from 3.9% in 2016-17 to 4.2% in 2017-18).

Of the 41,425 R/S incidents, 98.7 percent (40,897) were in response to emergency situations (imminent risk of injury to self, others or self and others) and 1.3 percent (528) were seclusions in accordance with an IEP. The gender, grade and race/ethnicity of students restrained and/or secluded in 2017-18 are examined in Tables 2 and 3 and Figure 1 below. All tables in this section represent 2017-18 data unless otherwise noted. Statewide counts and percentages for all students with IEPs are included to allow for comparison. In accordance with the Family Educational Rights and Protection Act (FERPA), some data have been suppressed to protect the identities of individual students. Suppressed values are marked with an asterisk.

The gender of students restrained and/or secluded in 2017-18 differed significantly from the gender of all students with IEPs ( $\chi^2$  (1, N = 3,305) = 326.5, p < .0001). Effect size, a statistical measure of practical significance, indicated a moderate association ( $\phi$ =0.31) between gender and being restrained and/or secluded. Further examination indicated that male students were overrepresented in the population of students restrained and/or secluded while female students were underrepresented.

Table 2
Gender of Students Restrained and/or Secluded (unduplicated count)

		trained and/or luded	All Student	ts with IEPs
Gender	Number	Percent	Number	Percent
Female	602	18.2%	26,119	33.0%
Male	2,703	2,703 81.8%		67.0%
TOTAL	3,305			100.0%

Figure 1 provides the proportion of students who were restrained and/or secluded by grade. While there was support for differences in grade distribution from 2016-17 and 2017-18 ( $\chi^2$  (13, N = 3,305) = 29.7, p < .01), effect size, a statistical measure of practical significance, indicated only a negligible association ( $\phi$ =0.09). Sixth grade contributed with larger than expected counts, while grade three had fewer students than expected.

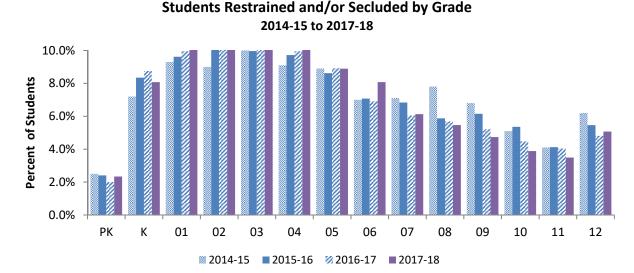


Figure 1. Bar chart illustrating grades of students restrained and/or secluded for 2014-15 through 2017-18 (proportions based on unduplicated count).

The race/ethnicity of students restrained and/or secluded in 2017-18 differed significantly from the race/ethnicity of all students with IEPs ( $\chi^2$  (6, N = 3,305) = 198.9, p < .0001). Effect size, a statistical measure of practical significance, indicated a moderate association ( $\phi$ =0.24) between race/ethnicity and being restrained and/or secluded. Further examination indicated that Black or African American students and students identifying as two or more races were overrepresented in the population of students restrained and/or secluded while White and Asian students were underrepresented.

Table 3
Race/Ethnicity of Students Restrained and/or Secluded (unduplicated count)

	Students F and/or S		All Students with IEPs			
Race/Ethnicity	Number	Percent	Number	Percent		
American Indian or Alaska Native	*	*	238	0.3%		
Asian	44	1.3%	1,925	2.4%		
Black or African American	716	21.7%	12,560	15.8%		
Hispanic/Latino of any race	1,024	31.0%	23,527	29.7%		
Native Hawaiian or Other Pacific Islander	*	*	75	0.1%		
Two or More Races	180	5.5%	2,416	3.1%		
White	1,324	40.1%	38,477	48.6%		
TOTAL	3,305	100.0%	79,218	100.0%		

<sup>\*</sup>Data suppressed to protect student confidentiality

It is extremely important to note that use of the unduplicated student count for any type of incidence rate calculation must be avoided. Many R/S incidents are for students with significant

self-injurious and aggressive behaviors. These students often have multiple incidents and in many cases account for the majority of incidents reported by an organization. If the total R/S incident and student count for this LEA were used to calculate a rate, it would result in significant misrepresentation of the use of R/S by this organization. Table 4 examines the range in the number of incidents reported for students at the state level. While three quarters (75.2%) of students had 10 or fewer R/S incidents during the 2017-18 school year, there were 47 students with greater than 100 R/S incidents, and fewer than six of those were restrained and/or secluded more than 300 times.

Table 4
Count of Students by Total Number of R/S Incidents

Number of	Emerg Rest		Emerg Seclu		Seclı via aı	asion n IEP	All Incide	All Incident Types		
Incidents	Number	Percent	Number	Percent	Number	Percent	Number	Percent		
1	955	35.2%	556	29.0%	13	39.4%	937	28.3%		
2-5	948	35.0%	659	34.4%	6	18.2%	1,073	32.5%		
6-10	341	12.6%	272	14.2%	*	*	475	14.4%		
11-50	401	14.8%	377	19.7%	7	21.2%	666	20.2%		
51-100	45	1.6%	39	2.0%	*	*	107	3.2%		
Over 100	21	0.8%	14	0.7%	*	*	47	1.4%		
TOTAL	2,711	100.0%	1,917	100.0%	33	100.0%	3,305	100.0%		

*Note:* If a student had more than one type of incident he/she is counted in each applicable column, but is counted only once in the TOTAL R/S Incidents column. A student with one emergency restraint, one emergency seclusion, and no seclusions via an IEP would be counted in the "2-5" row under TOTAL R/S Incidents. An asterisk (\*) represents data that are suppressed to protect student confidentiality.

#### **R/S Incidents Resulting in Injury**

There were a total of 261 incidents resulting in injuries, non-serious and serious, during the 2017-18 school year. Tables 5 and 6 include counts of total injuries.

Of the 261 incidents resulting in injury, twelve met the criteria for serious injury. A serious injury is defined as any injury requiring medical attention beyond basic first aid, while a nonserious injury is defined as an injury such as a red mark, bruise or scrape requiring application of basic first aid. No serious injuries occurred during seclusion via an IEP. Injuries occurring as a result of emergency R/S appear in Table 5, while injuries occurring as a result of seclusion via an IEP are reflected in Table 6. All incidents in 2017-18 that resulted in serious injury were reported to Disability Rights Connecticut, Inc., the successor to the Office of Protection and Advocacy for Persons with Disabilities. This reporting is consistent with the requirements of C.G.S. Section 46a-153.

#### **Emergency R/S Incidents**

A breakdown of all R/S incidents in response to emergency situations (imminent risk of injury to self, others, or self and others) is provided in Table 5. The table provides a total incident count and student count. Throughout the school year, a student could have attended multiple facilities.

In these cases, the student will appear in the student count for each applicable facility type, but only once in the statewide student count. Therefore, the statewide student count may be less than the sum of the student counts for all facility types.

Table 5
All Emergency R/S Incidents by Facility Type

	Emerg	gency Rest	raints	Emergency Seclusions					
	Incident	Student	Total	Incident	Student	Total			
Facility Type	Count	Count	Injuries	Count	Count	Injuries			
Academies	0	0	0	0	0	0			
APSEPs	10,809	919	78	7,735	677	12			
Charter Schools	25	21	*	9	7	0			
LEAs	7,739	1,515	*	6,222	978	40			
RESCs	4,206	374	56	4,152	317	25			
STATEWIDE	22,779	2,711	184	18,118	1,917	77			

*Note*: If a student had an incident in more than one facility type, he/she is only counted once in the statewide student count. Therefore, the statewide student count may be less than the sum of the student counts for all facility types. An asterisk (\*) represents data that are suppressed to protect student confidentiality.

#### Seclusions via an IEP

Seclusions via an IEP occurred far less than the previously discussed emergency responses. Again, seclusion is only written into an IEP when all other less restrictive interventions have been exhausted, a functional behavior assessment (FBA) has been conducted, and the PPT has determined that the use of seclusion is an appropriate intervention. Table 6 examines all seclusions via an IEP that occurred during the 2017-18 school year, again providing a total incident count as well as an unduplicated student count and injury count.

Table 6
All Seclusions via an IEP by Facility Type

	Incident	Student	Total
Facility Type	Count	Count	Injuries
Academies	0	0	0
APSEPs	477	23	0
Charter Schools	0	0	0
LEAs	*	*	0
RESCs	*	*	0
STATEWIDE	528	33	0

*Note*: If a student had an incident in more than one facility type, he/she is only counted once in the statewide student count. Therefore, the statewide student count may be less than the sum of the student counts for all facility types. An asterisk (\*) represents data that are suppressed to protect student confidentiality.

#### **Duration of R/S Incidents**

The duration of R/S incidents was examined. Tables 7, 8 and 9 provide data on the duration of emergency restraints, emergency seclusions and seclusions via an IEP respectively.

Table 7 shows that the vast majority of emergency restraints (95.8%) lasted 20 minutes or less, with over half (60.4%) lasting five minutes or less (up from 57.2 percent in 2016-17). Less than one half of one percent (0.2%) of emergency restraints lasted over one hour, and fewer than 6 emergency restraints lasted over two hours (down from 43 in 2014-15, 14 in 2015-16 and 6 in 2016-17).

Table 7

Duration of Emergency Restraints by Facility Type

								TOTAL
		0-2	3-5	6-20	21-40	41-60	Over 60	Emergency
Facility Type		Minutes	Minutes	Minutes	Minutes	Minutes	Minutes	Restraints
Academies		0	0	0	0	0	0	0
APSEPs		2,680	3,391	4,226	426	64	22	10,809
Charter Schools		10	7	*	*	0	0	25
LEAs		2,324	2,274	2,748	311	*	*	7,739
RESCs		1,765	1,293	*	*	*	*	4,206
STATEWIDE	N	6,779	6,965	8,072	792	122	49	22,779
STATEWIDE	%	29.8%	30.6%	35.4%	3.5%	0.5%	0.2%	100.0%

<sup>\*</sup>Data suppressed to protect student confidentiality

Table 8 shows that over three quarters of emergency seclusions (85.2%) lasted 20 minutes or less, with 39.2 percent lasting five minutes or less (up from 33.0 percent in 2016-17). Less than two percent (1.8%) of emergency seclusions lasted over an hour, down for the third year in a row (4.6% in 2014-15; 3.3% in 2015-16; 2.5% in 2016-17).

Table 8

Duration of Emergency Seclusions by Facility Type

								TOTAL
		0-2	3-5	6-20	21-40	41-60	Over 60	Emergency
Facility Type		Minutes	Minutes	Minutes	Minutes	Minutes	Minutes	Seclusions
Academies		0	0	0	0	0	0	0
APSEPs		1,115	1,809	3,547	836	274	154	7,735
Charter Schools		*	*	*	*	*	*	9
LEAs		611	1,225	3,198	800	218	170	6,222
RESCs		*	*	*	*	*	*	4,152
STATEWIDE	N	2,822	4,267	8,338	1,825	532	334	18,118
STATEWIDE	%	15.6%	23.6%	46.0%	10.1%	2.9%	1.8%	100.0%

<sup>\*</sup>Data suppressed to protect student confidentiality

Table 9 shows that 87.1 percent of seclusions via an IEP lasted 20 minutes or less, with nearly 40 percent (38.4%) lasting five minutes or less. Less than two percent (1.2%) of seclusions via an IEP lasted over an hour.

Table 9
Duration of Seclusions via an IEP by Facility Type

								TOTAL
		0-2	3-5	6-20	21-40	41-60	Over 60	Seclusions
Facility Type		Minutes	Minutes	Minutes	Minutes	Minutes	Minutes	via an IEP
Academies		0	0	0	0	0	0	0
APSEPs		89	103	225	46	8	6	477
Charter Schools		0	0	0	0	0	0	0
LEAs		*	*	*	*	0	0	*
RESCs		*	*	*	*	0	0	*
CT A TEWIDE	N	92	111	257	54	8	6	528
STATEWIDE	%	17.4%	21.0%	48.7%	10.2%	1.5%	1.2%	100.0%

#### **Circumstances Necessitating the Use of R/S**

The circumstances necessitating use of emergency R/S were investigated. Below, Figure 2 compares circumstances necessitating the use of emergency restraint and the use of emergency seclusion. Slightly more emergency restraints occurred due to risk of injury to self, than did emergency seclusions. When combined, 8.4 percent of emergency responses occurred solely as a result of risk of injury to self and just under 45 percent (44.7%) occurred solely as a result of risk of injury to others. Under half of emergency responses occurred as a result of risk of injury to self and others (46.9%).

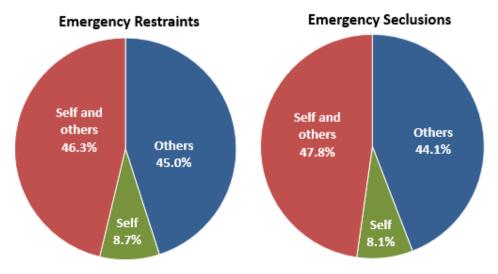


Figure 2. Pie charts comparing the circumstances necessitating the use of emergency restraint and emergency seclusion by risk type: risk of injury to self, others, or self and others (2017-18 school year).

#### **Primary Disability**

Organizations were required to report a student's primary disability at the time of each R/S incident. The primary disabilities of autism, emotional disturbance, and other health impairment (including attention deficit disorder/attention deficit hyperactivity disorder; ADD/ADHD) accounted for over 80 percent of the incidents in each incident type. Figure 3 shows a breakdown of incidents by primary disability. The primary disability category of *other* includes hearing impairment, visual impairment, orthopedic impairment, deaf/blindness, multiple disabilities and traumatic brain injury.

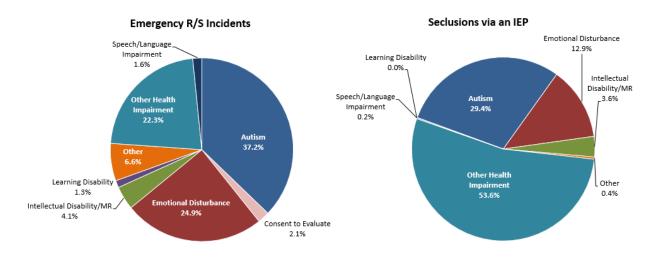


Figure 3. Pie charts comparing emergency R/S incidents and Seclusions via an IEP by Primary Disability (2017-18 school year).

#### **Trend Analysis**

The total number of R/S incidents in 2017-18 demonstrates an increase of 3,496 incidents or 9.2 percent from 2016-17 (36,032 in 2015-16 and 37,929 in 2016-17). Figure 4 presents a six-year comparison of total incidents by incident type (emergency restraint, emergency seclusion) and illustrates increases in the number of reported emergency incidents. However, it is important to note that 81% of the increase in reported emergency restraints reflects restraints of less than five minutes and 56% of the increase in reported emergency seclusions were also of less than five minutes. This lends data support to the claim by many organizations that the increased number of incidents they have reported are reflective of more appropriate data collection and reporting specifically related to multiple events in a sequence for the same student.

Finally, seclusions via an IEP have dropped 93.2 percent since the 2012-13 school year when the CSDE first began collecting student level incident data. Effective July 1, 2018, seclusions via an IEP are no longer permitted by Connecticut Statute.

#### R/S Incidents 2012-13 through 2017-18

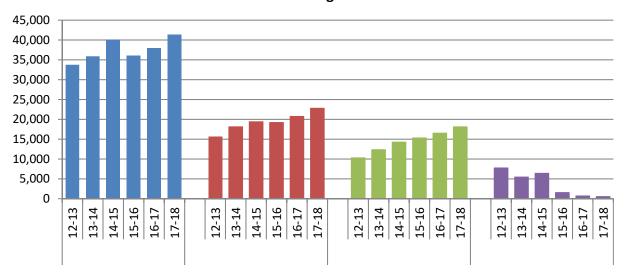


Figure 4. Bar graph comparing incidents reported from 2014-15 through 2017-18 by type.

## Actions Supporting the Reduction of the Use of Emergency Restraint and Seclusion in School Settings

The CSDE continues to collaborate within and across agencies to examine and analyze R/S data to inform guidance, technical assistance, and professional development activities in an effort to support the reduction of the use of emergency restraints and seclusions in the school setting.

The CSDE will continue to support and assist Local Education Agencies and Parents/Guardians with the provision of formal guidance and technical assistance. Guidance documents and resources are available on the CSDE website and include: Recommended Procedures and Practices to Reduce the Use of Restraint and Seclusion in Schools; The Guidelines to Identify and Educate Students with Emotional Disturbance (revised); Understanding the Laws and Regulations Governing the Use of Restraint and Seclusion in Schools; and Guidance Related to Recent Legislation Regarding Restraining and Seclusion in Schools to clarify important new definitions and R/S requirements under PA 18-15, section 4, effective July 1,2018. In addition to formal guidance, the Bureau of Special Education, Due Process Unit responds to formal written complaints related to restraint and seclusion with targeted feedback and technical assistance or corrective actions as needed. The CSDE also continues to engage in the Federal School Climate Transformation Grant (SCTG) as efforts continue to build capacity to develop, enhance, and expand Connecticut's Statewide Systems of Support to local educational agencies (LEAs) and schools.

In a coordinated statewide effort, the CSDE participates in the Connecticut Restraint and Seclusion Prevention Initiative. This partnership brings together state agencies and community providers to support the vision, guiding principles, and overall goals of the initiative, which is to prevent the use of restraint and seclusion in service environments across the life span. The 2018 Annual Conference focused on transforming the discussion about restraint and seclusion

prevention and changing the culture within organizations. The partnership is currently developing a needs assessment to inform plans to provide proactive strategies and prevention activities during the 2019-2020 school year.

The CSDE also collaborates with our Regional Educational Service Centers (RESCs) and the State Educational Resource Center (SERC) to provide training and professional development opportunities on an ongoing basis. The Pyramid Model, which supports Early Childhood training, is a conceptual framework of evidence-based practices for promoting young children's healthy social and emotional development. Additional topics include the impact of trauma on student learning, early intervention and alternative strategies to address challenging behaviors in schools, creating appropriate behavior/social emotional goals and objectives within the IEP process, and best practice strategies in designing and implementing functional behavior assessments and behavior intervention plans.

### Appendix A

		All R/S I	ncidents	Emerg	ency Restr	aints	Emerg	ency Seclu	sions	Seclusions via an IEP		
Code	Organization Name	Incident Count	Student Count	Incident Count	Student Count	Injury Count	Incident Count	Student Count	Injury Count	Incident Count	Student Count	Injury Count
0010011	Andover School District	0	0	0	0	0	0	0	0	0	0	0
0020011	Ansonia School District	50	14	50	14	0	0	0	0	0	0	0
0030011	Ashford School District	*	*	*	*	0	0	0	0	0	0	0
0040011	Avon School District	28	*	12	*	0	16	*	0	0	0	0
0050011	Barkhamsted School District	61	*	28	*	0	33	*	0	0	0	0
0070011	Berlin School District	31	*	27	*	0	*	*	0	0	0	0
0080011	Bethany School District	*	*	*	*	0	*	*	0	0	0	0
0090011	Bethel School District	137	13	42	10	*	95	8	0	0	0	0
0110011	Bloomfield School District	79	13	16	8	0	63	10	0	0	0	0
0120011	Bolton School District	15	*	*	*	0	10	*	0	0	0	0
0130011	Bozrah School District	129	*	19	*	0	110	*	*	0	0	0
0140011	Branford School District	20	9	*	*	0	16	7	0	0	0	0
0150011	Bridgeport School District	74	37	27	17	*	47	21	0	0	0	0
0170011	Bristol School District	98	37	34	26	0	64	18	0	0	0	0
0180011	Brookfield School District	50	8	29	7	0	21	*	0	0	0	0
0190011	Brooklyn School District	41	8	39	8	0	*	*	0	0	0	0
0210011	Canaan School District	0	0	0	0	0	0	0	0	0	0	0
0220011	Canterbury School District	*	*	0	0	0	*	*	0	0	0	0
0230011	Canton School District	8	*	*	*	0	*	*	0	0	0	0
0240011	Chaplin School District	0	0	0	0	0	0	0	0	0	0	0
0250011	Cheshire School District	212	19	93	19	0	119	9	0	0	0	0
0260011	Chester School District	0	0	0	0	0	0	0	0	0	0	0
0270011	Clinton School District	29	7	29	7	0	0	0	0	0	0	0
0280011	Colchester School District	24	7	*	*	0	20	6	0	0	0	0
0290011	Colebrook School District	0	0	0	0	0	0	0	0	0	0	0
0300011	Columbia School District	0	0	0	0	0	0	0	0	0	0	0
0310011	Cornwall School District	0	0	0	0	0	0	0	0	0	0	0
0320011	Coventry School District	46	*	21	*	*	24	*	0	*	*	0
0330011	Cromwell School District	36	11	19	7	0	17	10	0	0	0	0
0340011	Danbury School District	231	34	140	31	0	91	17	0	0	0	0
0350011	Darien School District	12	*	12	*	0	0	0	0	0	0	0
0360011	Deep River School District	0	0	0	0	0	0	0	0	0	0	0
0370011	Derby School District	120	16	10	*	0	110	16	*	0	0	0
0390011	Eastford School District	*	*	*	*	0	0	0	0	0	0	0
0400011	East Granby School District	0	0	0	0	0	0	0	0	0	0	0

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Code	Organization Name	Incident Count	Student Count	Incident Count	Student Count	Injury Count	Incident Count	Student Count	Injury Count	Incident Count	Student Count	Injury Count
0410011	East Haddam School District	65	*	65	*	*	0	0	0	0	0	0
0420011	East Hampton School District	112	7	9	*	0	103	7	0	0	0	0
0430011	East Hartford School District	228	54	203	54	*	25	8	*	0	0	0
0440011	East Haven School District	*	*	*	*	0	0	0	0	0	0	0
0450011	East Lyme School District	120	6	37	*	0	83	6	0	0	0	0
0460011	Easton School District	*	*	0	0	0	*	*	0	0	0	0
0470011	East Windsor School District	95	15	95	15	0	0	0	0	0	0	0
0480011	Ellington School District	26	9	9	6	0	17	*	0	0	0	0
0490011	Enfield School District	562	44	315	43	*	247	19	0	0	0	0
0500011	Essex School District	0	0	0	0	0	0	0	0	0	0	0
0510011	Fairfield School District	115	23	71	17	0	44	17	0	0	0	0
0520011	Farmington School District	125	17	44	12	*	81	13	0	0	0	0
0530011	Franklin School District	0	0	0	0	0	0	0	0	0	0	0
0540011	Glastonbury School District	65	15	43	14	*	22	*	0	0	0	0
0560011	Granby School District	*	*	*	*	0	*	*	0	0	0	0
0570011	Greenwich School District	97	13	92	12	0	*	*	0	0	0	0
0580011	Griswold School District	11	8	8	7	0	*	*	0	0	0	0
0590011	Groton School District	205	35	188	32	0	17	8	0	0	0	0
0600011	Guilford School District	24	6	*	*	0	19	6	0	0	0	0
0620011	Hamden School District	186	42	104	38	*	82	22	*	0	0	0
0630011	Hampton School District	*	*	*	*	0	0	0	0	0	0	0
0640011	Hartford School District	273	80	226	75	0	47	24	0	0	0	0
0650011	Hartland School District	20	*	6	*	0	14	*	*	0	0	0
0670011	Hebron School District	74	*	*	*	0	70	*	*	0	0	0
0680011	Kent School District	0	0	0	0	0	0	0	0	0	0	0
0690011	Killingly School District	22	6	15	6	0	7	*	0	0	0	0
0710011	Lebanon School District	9	*	*	*	0	6	*	0	0	0	0
0720011	Ledyard School District	133	27	91	22	0	38	18	0	*	*	0
0730011	Lisbon School District	*	*	*	*	0	*	*	0	0	0	0
0740011	Litchfield School District	16	*	16	*	0	0	0	0	0	0	0
0760011	Madison School District	9	*	9	*	0	0	0	0	0	0	0
0770011	Manchester School District	613	95	285	76	0	328	57	0	0	0	0
0780011	Mansfield School District	32	*	32	*	0	0	0	0	0	0	0
0790011	Marlborough School District	10	*	6	*	0	*	*	0	0	0	0
0800011	Meriden School District	794	82	386	70	*	408	45	*	0	0	0
0830011	Middletown School District	197	27	101	24	*	96	16	0	0	0	0
0840011	Milford School District	375	22	198	18	0	177	19	*	0	0	0

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0850011	Monroe School District	24	*	17	*	0	7	*	0	0	0	0
0860011	Montville School District	181	19	113	14	0	68	11	*	0	0	0
0880011	Naugatuck School District	119	17	31	10	0	88	13	*	0	0	0
0890011	New Britain School District	653	97	334	82	10	318	66	*	*	*	0
0900011	New Canaan School District	*	*	0	0	0	*	*	0	0	0	0
0910011	New Fairfield School District	15	*	6	*	0	9	*	0	0	0	0
0920011	New Hartford School District	*	*	*	*	0	0	0	0	0	0	0
0930011	New Haven School District	16	12	16	12	0	0	0	0	0	0	0
0940011	Newington School District	101	11	88	10	0	12	*	0	0	0	0
0950011	New London School District	97	24	94	23	0	*	*	0	0	0	0
0960011	New Milford School District	448	24	117	14	*	331	21	*	0	0	0
0970011	Newtown School District	171	12	46	7	0	125	10	0	0	0	0
0980011	Norfolk School District	0	0	0	0	0	0	0	0	0	0	0
0990011	North Branford School District	44	*	37	*	0	7	*	0	0	0	0
1000011	North Canaan School District	0	0	0	0	0	0	0	0	0	0	0
1010011	North Haven School District	98	11	36	7	0	36	9	*	26	*	0
1020011	North Stonington School District	*	*	*	*	0	0	0	0	0	0	0
1030011	Norwalk School District	116	23	111	23	0	*	*	0	0	0	0
1040011	Norwich School District	116	27	115	27	0	*	*	0	0	0	0
1060011	Old Saybrook School District	*	*	*	*	0	0	0	0	0	0	0
1070011	Orange School District	*	*	*	*	0	0	0	0	0	0	0
1080011	Oxford School District	9	*	*	*	0	6	*	0	0	0	0
1090011	Plainfield School District	629	8	628	8	*	*	*	0	0	0	0
1100011	Plainville School District	71	8	12	*	*	59	6	*	0	0	0
1110011	Plymouth School District	120	15	52	14	0	68	10	0	0	0	0
1120011	Pomfret School District	0	0	0	0	0	0	0	0	0	0	0
1130011	Portland School District	*	*	*	*	0	0	0	0	0	0	0
1140011	Preston School District	*	*	*	*	0	0	0	0	0	0	0
1160011	Putnam School District	9	*	8	*	0	*	*	0	0	0	0
1170011	Redding School District	75	10	75	10	0	0	0	0	0	0	0
1180011	Ridgefield School District	31	9	21	7	0	10	*	0	0	0	0
1190011	Rocky Hill School District	9	*	7	*	0	*	*	0	0	0	0
1210011	Salem School District	15	*	14	*	0	*	*	0	0	0	0
1220011	Salisbury School District	0	0	0	0	0	0	0	0	0	0	0
1230011	Scotland School District	0	0	0	0	0	0	0	0	0	0	0
1240011	Seymour School District	33	7	33	7	*	0	0	0	0	0	0
1250011	Sharon School District	8	*	8	*	*	0	0	0	0	0	0

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Code	Organization Name	Incident Count	Student Count	Incident Count	Student Count	Injury Count	Incident Count	Student Count	Injury Count	Incident Count	Student Count	Injury Count
1260011	Shelton School District	371	23	163	19	*	208	15	0	0	0	0
1270011	Sherman School District	*	*	0	0	0	*	*	0	0	0	0
1280011	Simsbury School District	28	9	9	6	0	19	6	0	0	0	0
1290011	Somers School District	*	*	0	0	0	*	*	0	0	0	0
1310011	Southington School District	255	23	102	17	*	153	15	0	0	0	0
1320011	South Windsor School District	47	*	47	*	0	0	0	0	0	0	0
1330011	Sprague School District	93	13	9	*	0	84	12	0	0	0	0
1340011	Stafford School District	108	14	9	6	0	99	14	*	0	0	0
1350011	Stamford School District	65	17	45	14	0	20	*	0	0	0	0
1360011	Sterling School District	*	*	*	*	0	0	0	0	0	0	0
1370011	Stonington School District	134	12	67	8	*	67	9	0	0	0	0
1380011	Stratford School District	246	33	130	27	0	116	22	0	0	0	0
1390011	Suffield School District	38	9	37	9	0	*	*	0	0	0	0
1400011	Thomaston School District	18	*	16	*	0	*	*	0	0	0	0
1410011	Thompson School District	53	10	9	*	0	44	10	0	0	0	0
1420011	Tolland School District	205	9	93	9	*	112	7	0	0	0	0
1430011	Torrington School District	102	22	74	18	0	28	10	*	0	0	0
1440011	Trumbull School District	121	15	80	14	0	41	9	*	0	0	0
1450011	Union School District	0	0	0	0	0	0	0	0	0	0	0
1460011	Vernon School District	215	25	114	20	0	91	20	0	10	*	0
1470011	Voluntown School District	0	0	0	0	0	0	0	0	0	0	0
1480011	Wallingford School District	42	15	41	15	0	*	*	0	0	0	0
1510011	Waterbury School District	677	76	314	42	0	363	55	*	0	0	0
1520011	Waterford School District	9	*	9	*	0	0	0	0	0	0	0
1530011	Watertown School District	77	9	29	*	0	48	7	0	0	0	0
1540011	Westbrook School District	*	*	*	*	0	*	*	0	0	0	0
1550011	West Hartford School District	213	30	94	24	0	118	24	0	*	*	0
1560011	West Haven School District	9	6	9	6	0	0	0	0	0	0	0
1570011	Weston School District	*	*	*	*	0	0	0	0	0	0	0
1580011	Westport School District	19	8	17	7	0	*	*	0	0	0	0
1590011	Wethersfield School District	109	12	84	11	0	25	8	0	0	0	0
1600011	Willington School District	7	*	0	0	0	6	*	0	*	*	0
1610011	Wilton School District	20	*	19	*	*	*	*	0	0	0	0
1620011	Winchester School District	56	8	47	8	0	9	*	0	0	0	0
1630011	Windham School District	369	57	197	49	8	172	35	*	0	0	0
1640011	Windsor School District	346	29	192	23	*	154	22	*	0	0	0
1650011	Windsor Locks School District	95	8	40	7	0	55	6	0	0	0	0

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1660011	Wolcott School District	31	*	22	*	0	9	*	0	0	0	0
1670011	Woodbridge School District	8	*	*	*	0	6	*	0	0	0	0
1690011	Woodstock School District	*	*	0	0	0	*	*	0	0	0	0
2010012	Regional School District 01	10	*	7	*	0	*	*	0	0	0	0
2040012	Regional School District 04	0	0	0	0	0	0	0	0	0	0	0
2050012	Regional School District 05	15	*	15	*	0	0	0	0	0	0	0
2060012	Regional School District 06	12	*	11	*	0	*	*	0	0	0	0
2070012	Regional School District 07	0	0	0	0	0	0	0	0	0	0	0
2080012	Regional School District 08	0	0	0	0	0	0	0	0	0	0	0
2090012	Regional School District 09	59	*	59	*	0	0	0	0	0	0	0
2100012	Regional School District 10	165	*	46	*	*	119	*	6	0	0	0
2110012	Regional School District 11	0	0	0	0	0	0	0	0	0	0	0
2120012	Regional School District 12	10	*	9	*	*	*	*	0	0	0	0
2130012	Regional School District 13	*	*	*	*	0	0	0	0	0	0	0
2140012	Regional School District 14	38	*	0	0	0	32	*	0	6	*	0
2150012	Regional School District 15	13	*	*	*	0	8	*	0	0	0	0
2160012	Regional School District 16	42	9	42	9	0	0	0	0	0	0	0
2170012	Regional School District 17	28	8	11	6	0	17	*	0	0	0	0
2180012	Regional School District 18	*	*	*	*	0	0	0	0	0	0	0
2190012	Regional School District 19	0	0	0	0	0	0	0	0	0	0	0
3370015	Department of Mental Health and Addiction Services	0	0	0	0	0	0	0	0	0	0	0
3470015	Unified School District #2	15	13	15	13	0	0	0	0	0	0	0
9000016	Connecticut Technical Education and Career System	0	0	0	0	0	0	0	0	0	0	0
	LEA TOTAL	14,011	1,872	7,739	1,515	*	6,222	978	40	*	*	0
2410014	Capitol Region Education Council	4649	130	2159	108	*	2490	77	*	0	0	0
2420014	EdAdvance	258	12	209	12	*	48	7	*	*	*	0
2430014	Cooperative Educational Services	1072	93	608	76	0	464	55	*	0	0	0
2440014	Area Cooperative Educational Services	1022	157	360	102	36	662	112	15	0	0	0
2450014	Learn	1087	50	775	41	0	312	30	0	0	0	0
2530014	Eastern Connecticut Regional Educational Service Center (EASTCONN)	271	51	95	36	*	176	37	0	0	0	0
	RESC TOTAL	8,359	492	4,206	374	56	4,152	317	25	*	*	0
0046821	Webb School in the Valley	181	11	81	10	0	100	10	0	0	0	0
0046921	Oak Hill School at Farmington Valley Montessori	*	*	*	*	0	0	0	0	0	0	0

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0100161	Arch Bridge School	42	6	31	*	*	9	*	0	*	*	0
0170221	Oak Hill School at Chapter 126	99	7	99	7	0	0	0	0	0	0	0
0170561	Oak Hill School at Hartford Secondary	23	*	23	*	*	0	0	0	0	0	0
0190161	Learning Clinic	34	12	34	12	*	0	0	0	0	0	0
0230121	Fresh Start School	0	0	0	0	0	0	0	0	0	0	0
0250161	Webb School at Cheshire	585	25	237	18	0	348	24	0	0	0	0
0330161	Adelbrook-The Learning Center of Cromwell	2,176	64	1346	51	0	830	45	0	0	0	0
0360161	Connecticut Transition Academy at Deep River	0	0	0	0	0	0	0	0	0	0	0
0380261	Rushford Academy	0	0	0	0	0	0	0	0	0	0	0
0430121	Adelbrook-The Learning Center of East Hartford	11	8	11	8	0	0	0	0	0	0	0
0440221	Pathways Academy - East Haven	*	*	*	*	0	0	0	0	0	0	0
0450161	Light House on Main St	*	*	*	*	0	0	0	0	0	0	0
0450261	Light House on Pennsylvania Ave	16	*	*	*	0	14	*	0	0	0	0
0460161	The Speech Academy-Easton	0	0	0	0	0	0	0	0	0	0	0
0490161	Natchaug Hospital School Joshua Center-Enfield	0	0	0	0	0	0	0	0	0	0	0
0510261	Giant Steps CT School	79	13	79	13	0	0	0	0	0	0	0
0516061	Saint Catherine Academy	0	0	0	0	0	0	0	0	0	0	0
0530121	Natchaug Hospital Mansfield CDT at Green Valley	0	0	0	0	0	0	0	0	0	0	0
0570161	Eagle Hill School	0	0	0	0	0	0	0	0	0	0	0
0620261	Cedarhurst School	0	0	0	0	0	0	0	0	0	0	0
0620361	Whitney Hall School	126	38	126	38	19	0	0	0	0	0	0
0626161	Lorraine D. Foster Day School	0	0	0	0	0	0	0	0	0	0	0
0640261	Grace S. Webb School	539	41	345	39	0	194	33	0	0	0	0
0642061	Eagle House Education Program	47	13	47	13	0	0	0	0	0	0	0
0642161	High Road School of Hartford High	230	26	217	26	6	13	7	0	0	0	0
0642261	High Road School of Hartford-Primary	682	43	382	40	0	300	38	0	0	0	0
0646061	Futures School-West Hartford	*	*	*	*	0	0	0	0	0	0	0
0646161	Options Educational Services	0	0	0	0	0	0	0	0	0	0	0
0670221	Oak Hill School at Hemlocks Center	10	*	10	*	0	0	0	0	0	0	0
0690161	Natchaug Hospital School Joshua Center NE-Danielson	0	0	0	0	0	0	0	0	0	0	0
0740161	Connecticut Junior Republic	0	0	0	0	0	0	0	0	0	0	0
0740461	Touchstone School	*	*	*	*	0	0	0	0	0	0	0
0760161	Grove School	*	*	*	*	0	0	0	0	0	0	0

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0770161	Community Child Guidance Clinic School	685	56	166	35	*	519	55	*	0	0	0
0770221	Adelbrook-The Learning Center of Manchester	852	23	603	20	0	248	20	0	*	*	0
0770361	Manchester Memorial Hospital Clinical Day School	7	*	7	*	0	0	0	0	0	0	0
0780161	Natchaug Hospital Inpatient School	0	0	0	0	0	0	0	0	0	0	0
0780261	Natchaug Hospital School CDT- Mansfield	134	14	134	14	*	0	0	0	0	0	0
0780361	Natchaug Hospital Journey School	7	*	7	*	*	0	0	0	0	0	0
0800161	Meliora Academy	247	16	216	11	0	31	10	0	0	0	0
0830821	Futures School-Middletown	0	0	0	0	0	0	0	0	0	0	0
0840161	Charles F. Hayden School at Boys & Girls Village	315	54	315	54	*	0	0	0	0	0	0
0840461	Milestones-Orange	716	22	307	20	0	409	18	0	0	0	0
0840561	Milestones-Milford	1,446	26	1139	18	*	307	17	*	0	0	0
0846061	Foundation School-Milford	*	*	0	0	0	*	*	0	0	0	0
0846161	Woodhouse Academy	0	0	0	0	0	0	0	0	0	0	0
0860221	Bradley School-New London Regional	1,607	43	1010	40	8	597	37	*	0	0	0
0880521	IPPI Learning Academy at Prospect St. School	0	0	0	0	0	0	0	0	0	0	0
0890261	Oak Hill School at New Britain	9	*	9	*	0	0	0	0	0	0	0
0890361	Raymond Hill School	675	68	528	57	0	147	44	0	0	0	0
0890461	Solterra Academy	1,677	83	1167	79	10	510	59	*	0	0	0
0895161	Oak Hill School at Hartford Primary	39	6	39	6	0	0	0	0	0	0	0
0920161	Oak Hill School at Ann Antolini School	0	0	0	0	0	0	0	0	0	0	0
0930661	Chapel Haven Schleifer Center, Inc.	0	0	0	0	0	0	0	0	0	0	0
0931461	Yale Child Study Center School	0	0	0	0	0	0	0	0	0	0	0
0950161	Connecticut College Children's Program	0	0	0	0	0	0	0	0	0	0	0
0950421	High Road School of New London Middle/High School	64	14	23	12	0	41	12	0	0	0	0
0950821	High Road School of New London Primary School	282	14	78	12	*	204	11	0	0	0	0
1010161	Elizabeth Ives School for Special Children	13	*	*	*	0	11	*	0	0	0	0
1036261	High Road School of Norwalk	565	28	138	24	*	187	18	0	240	*	0
1040721	Natchaug Hospital School Joshua Center Thames Valley CDT	*	*	*	*	*	0	0	0	0	0	0

		All R/S I	ncidents	Emerg	Emergency Restraints			Emergency Seclusions			Seclusions via an IEP		
Code	Organization Name	Incident Count	Student Count	Incident Count	Student Count	Injury Count	Incident Count	Student Count	Injury Count	Incident Count	Student Count	Injury Count	
1060161	Natchaug Hospital School CDT- Shoreline	0	0	0	0	0	0	0	0	0	0	0	
1070161	Foundation School-Orange	*	*	0	0	0	*	*	0	0	0	0	
1075061	Hope Academy	0	0	0	0	0	0	0	0	0	0	0	
1100261	Northwest Village School/Wheeler Clinic	1,672	104	755	88	*	917	89	*	0	0	0	
1105261	Oak Hill School at Middle School of Plainville	11	*	11	*	0	0	0	0	0	0	0	
1106161	Oak Hill School at Haddam- Killingworth High School	*	*	*	*	0	0	0	0	0	0	0	
1290321	The Speech Academy-Somersville	0	0	0	0	0	0	0	0	0	0	0	
1350161	Villa Maria Education Center	0	0	0	0	0	0	0	0	0	0	0	
1356621	The Spire School	0	0	0	0	0	0	0	0	0	0	0	
1356721	Pinnacle School, LLC	25	6	6	*	0	19	6	0	0	0	0	
1380121	IPPI Learning Academy: Stratford	680	33	97	16	0	394	31	*	189	10	0	
1410161	River Run Academy at the Susan Wayne Center of Excellence	66	16	44	13	0	22	11	0	0	0	0	
1440161	St. Vincent's Special Needs School	0	0	0	0	0	0	0	0	0	0	0	
1480161	Benhaven School	442	32	181	25	*	260	27	*	*	*	0	
1480261	High Road Academy	23	6	22	6	*	*	*	0	0	0	0	
1480461	Benhaven Academy	*	*	*	*	0	0	0	0	0	0	0	
1480521	High Road Academy - BEST Academy	91	14	56	12	*	28	6	0	7	*	0	
1485061	High Road School of Wallingford	888	43	465	42	*	387	30	*	36	*	0	
1500161	Devereux Glenholme School	*	*	*	*	0	0	0	0	0	0	0	
1520161	Waterford Country School	25	14	25	14	*	0	0	0	0	0	0	
1550161	Gengras Center	782	21	143	12	0	638	17	0	*	*	0	
1550261	Intensive Education Academy	56	6	9	*	0	47	6	0	0	0	0	
1550361	American School for the Deaf	*	*	*	*	0	0	0	0	0	0	0	
1550561	Ben Bronz Academy	0	0	0	0	0	0	0	0	0	0	0	
1550861	PACES	15	*	15	*	0	0	0	0	0	0	0	
1610221	Westport Day School	0	0	0	0	0	0	0	0	0	0	0	
	APSEP TOTAL	19,021	1,073	10,809	919	78	7,735	677	12	477	23	0	
9010022	Norwich Free Academy District	0	0	0	0	0	0	0	0	0	0	0	
9020022	Gilbert School District	0	0	0	0	0	0	0	0	0	0	0	
9030022	Woodstock Academy District	0	0	0	0	0	0	0	0	0	0	0	
	ACADEMY TOTAL	0	0	0	0	0	0	0	0	0	0	0	
2610013	Jumoke Academy	0	0	0	0	0	0	0	0	0	0	0	
2630013	Odyssey Community School	0	0	0	0	0	0	0	0	0	0	0	

		All R/S li	ncidents	Emerg	ency Restr	aints	Emerge	ency Seclus	sions	Seclusions via an IEP			
Code	Organization Name	Incident Count	Student Count	Incident Count	Student Count	Injury Count	Incident Count	Student Count	Injury Count	Incident Count	Student Count	Injury Count	
2640013	Integrated Day Charter School	0	0	0	0	0	0	0	0	0	0	0	
2650013	Interdistrict School for Arts and Comm District	0	0	0	0	0	0	0	0	0	0	0	
2680013	Common Ground High School	0	0	0	0	0	0	0	0	0	0	0	
2690013	The Bridge Academy	0	0	0	0	0	0	0	0	0	0	0	
2700013	Side By Side Charter School	0	0	0	0	0	0	0	0	0	0	0	
2720013	Explorations	0	0	0	0	0	0	0	0	0	0	0	
2780013	Trailblazers Academy	0	0	0	0	0	0	0	0	0	0	0	
2790013	Amistad Academy	11	6	7	*	0	*	*	0	0	0	0	
2800013	New Beginnings Inc Family Academy	0	0	0	0	0	0	0	0	0	0	0	
2820013	Stamford Academy	0	0	0	0	0	0	0	0	0	0	0	
2830013	Park City Prep Charter School	0	0	0	0	0	0	0	0	0	0	0	
2850013	Bridgeport Achievement First	11	8	7	6	*	*	*	0	0	0	0	
2860013	Highville Charter School District	0	0	0	0	0	0	0	0	0	0	0	
2880013	Achievement First Hartford Academy Inc.	7	6	6	*	0	*	*	0	0	0	0	
2890013	Elm City College Preparatory School	*	*	*	*	0	0	0	0	0	0	0	
2900013	Brass City Charter School	0	0	0	0	0	0	0	0	0	0	0	
2910013	Elm City Montessori School	0	0	0	0	0	0	0	0	0	0	0	
2940013	Great Oaks Charter School	*	*	*	*	*	0	0	0	0	0	0	
2950013	Booker T. Washington Academy	0	0	0	0	0	0	0	0	0	0	0	
2960013	Stamford Charter School for Excellence	0	0	0	0	0	0	0	0	0	0	0	
2970013	Capital Preparatory Harbor School Inc.	0	0	0	0	0	0	0	0	0	0	0	
	CHARTER SCHOOL TOTAL	34	25	25	21	*	9	7	0	0	0	0	
	STATEWIDE	41,425	3,305	22,779	2,711	184	18,118	1,917	77	528	33	0	

#### Appendix B

Comparison reports were presented through the online application to all organizations showing change in reported data from 2016-17 to 2017-18 For 101 organizations, data reported in 2017-18 indicated a substantial departure from those reported in 2016-17 (43 reported a reduction in R/S incidents and 58 reported an increase). These 101 organizations provided written feedback explaining factors contributing to the change. Below is a summary of those responses.

Reasons Stated by Organizations that Evidenced Reduction in Reported R/S Incidents

- 1. LEAs reported that many incidents of R/S reported in the previous year were for individual students with significant self-injurious and aggressive behaviors. Each had multiple restraints or seclusions within a single incident which accounted for the majority of reported incidents. Over time, PPT decisions resulted in placement of these students in a more restrictive settings outside the LEA to better accommodate their specific behavioral and educational needs.
- 2. Schools/programs indicated an increase in mental health supports to students and their families contributed to the reduction.
- 3. LEAs indicated an increase in supervision and coaching of all instructional and support staff involved in the implementation of intensive behavioral support programs.
- 4. Schools/programs noted additional support of in house trainers who focus on training around de-escalation strategies.
- 5. Schools/programs indicated that efforts to match student need with staff skill set resulted in improved effectiveness of strategies and interventions.
- 6. Focused training for principals and other staff assisted in improving implementation of evidence based practices and resulted in more accurate recording of incidents.
- 7. Enhanced monitoring and analysis of data, followed by revisions and/or adjustments in a student's behavior plan or IEP resulted in a decrease of incidents.
- 8. Reduction in reported R/S incidents is attributed by some to frequent and careful review and monitoring of functional behavior assessments (FBA) and greater fidelity in the implementation of behavior intervention plans (BIP).
- 9. Reduction in reported R/S incidents is attributed to improved staff training related to the implementation of evidence based practices (i.e. behavior skills training, coaching).
- 10. Reduction in reported R/S incidents is attributed to the development and implementation of preventive strategies (PBIS; Trauma Informed Care).
- 11. Reduction in reported R/S incidents is attributed to implementation of debriefing and daily reflection and planning meetings.
- 12. Reduction in reported R/S incidents is attributed to implementation of responsive classroom and restorative practices.
- 13. Some organizations reported that increased collaboration between school staff and the clinical team as well as professional development for *all* staff that was focused on deescalation strategies and the law and its requirements resulted in a decrease in the use restraint and seclusion.
- 14. Organizations have expanded training of staff to include guidance related to the Six Core Strategies, PBIS, trauma informed care, district-wide training on effective classroom management, implementation of prevention and intervention strategies (SRBI), and the implementation of de-escalation procedures with fidelity.

- 15. Organizations report positive impact of the integration of quality trauma informed care and restorative justice practices, as well as building healthy relationships and positive behavior supports into a multi-tiered model of supports.
- 16. The use or expanded use of Board Certified Behavior Analysts (BCBAs) to design student-specific targeted interventions and provide staff support and training as well as support and training to families was identified by multiple LEAs.
- 17. LEAs attested to change in LEA policy and procedures regarding de-escalation of aggressive student behaviors as well as an LEA commitment to redesign supports for students within the general education setting through the implementation of Positive Behavioral Interventions and Supports (PBIS) as reason for significant reductions in the use of R/S.
- 18. Several LEAs have reported that students continue to benefit from the introduction of or the expansion of specialized programs now available in the LEA.
- 19. Some private, out of district organizations reported that a contributing factor to reduction has been a strict adherence to admissions criteria or limiting the enrollment of students with significant aggressive behaviors, leading to enrollment of students in specialized settings whose needs are appropriate to the design, scope and support services available through the program.
- 20. An overall decline in student enrollment in schools/programs was also identified as contributing to lower incidents of restraint and seclusion.
- 21. A few organizations reported that reductions are impacted by previous year inaccuracies in appropriately defining a restraint or seclusion.

#### Reasons Stated by Organizations that Evidenced Increases in Reported R/S Incidents

- 1. An increase in out of district placements of students with significant aggressive and self-injurious behaviors as reported by LEAs is reflected in the increase in incidents occurring in RESCs and APSEPs.
- 2. Organizations saw dramatic increases in their reported R/S incidents due to one or a limited number of students that either entered their program or school for the first time or had returned after being previously enrolled in a more restrictive setting. Students were described as demonstrating significant self-injurious and aggressive behaviors through the transition process. These students had multiple incidents, each of which were typically of short duration. In many instances, the majority of the incidents reported were associated with a single student.
- 3. Some organizations continue to report that increases were the result of more appropriate reporting specifically related to multiple events in a sequence. For example, a student demonstrates a behavior that results in a five minute restraint; as the staff member begins to release the student, the student immediately resumes the prior aggressive behavior and is restrained once again by staff. Under R/S reporting guidance, each restraint/release is considered a new incident. A number of schools/organizations continue to report that previous inaccurate reporting has resulted in the appearance of an increase of R/S incidents, when in fact, it is the result of more appropriate reporting.
- 4. Multiple districts cited the establishment of in-district programs initiated to address the needs of students previously placed in more restrictive settings such as RESCs, APSEPs or out of state facilities. Districts are now servicing and supporting students demonstrating significant behavioral issues that may require emergency procedures to

- ensure the safety of the student and/or others and allow the LEA to meet the requirement to maintain a safe school.
- 5. Some organizations, primarily specialized settings, which reported a substantial increase from the previous year, indicated that the increases align with an overall increase in enrollment and that the trend in student behavior is characterized as more dysregulated.
- 6. A few organizations continue to report that increases are impacted by previous year inaccuracies in defining a restraint or seclusion as outlined in the regulations.